



Annexure XIII

**MAHARASHTRA NATIONAL LAW UNIVERSITY
MUMBAI**

**EC:21:16 -AMENDED DOCTOR OF PHILOSOPHY
(Ph.D.) REGULATION
2023**

**Updated as per the University Grants Commission (Minimum Standards
and Procedures for Award of Ph.D. Degree) Regulations, 2022**

Post-Graduation and Research Department



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MAHARASHTRA NATIONAL LAW UNIVERSITY, MUMBAI

DOCTOR OF PHILOSOPHY (Ph.D.) REGULATION 2023

PREAMBLE

These Regulations have been framed in accordance with the UGC (Minimum Standards and Procedures for Award of Ph.D. Degrees) Regulations, 2022.

In view of the University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations 2022 superseding the UGC (Minimum Standards and Procedure for Awards of M.Phil/Ph.D. Degree) Regulation, 2009 & 2016, the Regulations passed by the Academic Council of the University are being replaced by these Regulations for the Degree of Doctor of Philosophy, 2022. The new Regulation has been framed to make the MNLU, Mumbai Ph.D. program consistent with UGC Ph.D. Regulations of 2022. The present Regulations has incorporated the provision for inter-disciplinary research to be undertaken by the scholar in the University.

1. SHORT TITLE OF THE REGULATIONS

These Regulations may be called 'Maharashtra National Law University, Mumbai Ph.D. (Doctor of Philosophy) Regulations 2023'.

2. DEFINITIONS

The Maharashtra National Law University Act (Maharashtra Act No. VI of 2014) and the University Statutes and the General Clauses Act 1887 shall provide general interpretational guidance. Unless the context otherwise requires the following terms shall mean as follows:

- (i) 'Academic Council' means the Academic Council of the University under Section 22 of the Maharashtra National Law University Act 2014.
- (ii) 'Act' means the Maharashtra National Law University Act 2014.
- (iii) 'Chancellor' means Chancellor of the University under Section 9 of the Maharashtra National Law University Act 2014.
- (iv) "Course Work" means courses of study prescribed by the University to be undertaken by a student registered for the Ph.D. Degree;
- (v) 'Executive Council' means the Executive Council of the University under Section 15 of the Maharashtra National Law University Act 2014.
- (vi) 'External Expert/Examiner' means an academician with outstanding scholar in law, sciences, social sciences and humanities with published work of high quality to his / her credit.
- (vii) 'General Council' means the General Council of the University under Section 11 of the Maharashtra National Law University Act 2014.
- (viii) "Guide/Research Supervisor" means an academician/researcher recognized by the University to supervise the Ph.D. scholar for his/her research;
- (ix) 'Registrar' means the Registrar of the University under Section 29 of the Maharashtra National Law University Act 2014.
- (x) 'Regulation' means the 'Maharashtra National Law University, Mumbai Ph.D. (Doctor of Philosophy) Regulations 2023', as amended from time to



time.

- (xi) 'Rule' means the Rules framed by the Government of Maharashtra under the Maharashtra National Law University Act 2014.
- (xii) 'Statute' means the Statutes as approved by the General Council of the University from time to time.
- (xiii) 'University' means the 'Maharashtra National Law University, Mumbai' established by the Maharashtra National Law University Act 2014.
- (xiv) 'Vice-Chancellor' means the Vice-Chancellor of the University under Section 28 of the Maharashtra National Law University Act 2014.
- (xv) 'Year' means :
 - (a) Academic Year : A period of 12 months commencing from first day of June to 31st day of May of the following year; and
 - (b) Calendar Year: A period of 12 months commencing from first day of January to 31st day of December.

3. ADMINISTRATION

The Head of the Department Post Graduation and Research [HoD] shall be responsible for the administration of the Ph.D Programme. The Post-Graduation and Research Department shall do the necessary requirements with the permission of the Vice-Chancellor for the smooth conduct of the PhD Programme.

4. INTAKE

MNLU Mumbai shall decide the number of seats available for admission in the respective areas/ specializations of Ph. D. from time to time, subject to the availability of faculty supervisors/ guides in the relevant area/ specialization. This shall be done before the commencement of the admission.

5. NOTIFICATION OF SEATS

MNLU Mumbai shall notify and advertise the number of research seats available. The University shall also notify the admission details through the University Website and through at least two newspapers of which one shall be in Marathi language. The admission shall be made through Ph.D. Admission Test (PAT) conducted by the University. Exemption for PAT may be given to the candidates who have qualified National Eligibility Test of the UGC/MH-SET/GATE. The details notified shall include the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination center(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates.

6. ELIGIBILITY

- 6.1 Obtained a Master's Degree in Law (regular mode) from any recognized University including a Foreign Educational institution/university, which is recognized by the UGC, securing not less than 55% marks or its equivalent grade in a point scale wherever grading system is followed.

or

Obtained a Master's Degree (regular mode) in any discipline from a recognized University including a Foreign Educational institution/university, which is recognized by the UGC, with not less than 55% marks or its equivalent grade in a



point scale wherever grading system is followed.

- 6.2 Provided that the candidate with qualifications in sciences, social sciences and humanities may pursue his / her research interfacing with public policy or law.
- 6.3 Provided further that the candidate, whose final result of the qualifying examination is awaited, for any reason, shall be allowed to appear provisionally in the admission test. Such candidate shall have to produce the final result of the qualifying examination at the time of the final presentation of his / her Ph.D. proposal.
- 6.4 A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the university from time to time.
- 6.5 Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the University from time to time.
- 6.6 The candidate having passed the Company Secretaryship examination conducted by the ICS in India and been awarded Associate Membership of the Institute (ACS) and a Bachelor's in Law (LL.B.) degree from a recognized University through regular mode shall be eligible for admission; or
- 6.7 The candidate having passed the Chartered Accountants examination conducted by the Institute of Chartered Accountants and been awarded Membership of the Institute of Chartered Accountants and a Bachelor's in Law (LL.B.) degree from a recognized University through regular stream shall be eligible for admission.

7. DURATION

- 7.1 Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.
- 7.2 Extension of Time
 - (a) The Research Advisory Committee (RAC) on the application of the concerned scholar along with the report of the Supervisor may extend the time for a period of six months at a time but not more than two year as maximum period.
 - (b) Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme. In addition, the woman candidate may be provided maternity leave / child care leave once in the entire duration of Ph.D. degree programme for up to 240 days.



- (c) Beyond two year as stipulated in sub-clause (a) or beyond two year as stipulated in sub-clause (b), the Executive Council on the recommendation of the Academic Council based on the application of the Ph.D. scholar along with the recommendation of the Supervisor and the Research Committee may extend for one more year.
- (d) The Ph.D. Degree Programme shall be deemed to have elapsed after six years from the date of enrolment in both cases either full-time or part-time scholars, if not approved as per the clauses above.

8. RESEARCH SUPERVISOR

Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per supervisor, etc.

- (a) Full time faculty members working as Professor/Associate Professor in the University with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and full time faculty members working as Assistant Professors in University with a Ph.D., and at least three research publications in peer-reviewed or refereed journals will be recognized as a Research Supervisor. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the university would be in violation of these Regulations.
- (b) Co-Supervisors from within the University may be permitted with the approval of the Research Advisory Committee.
- (c) Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.
- (d) In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the University may be appointed.
- (e) An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.
- (f) In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
- (g) Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
- (h) If any Research supervisor resigns or goes on leave/lien for more than 6 months, from the University, students shall be allowed to submit the thesis under the same Supervisor provided they have completed the Pre-Submission presentation. If the candidate has completed the coursework but has not done the Pre-Submission presentation, the Supervisor may continue as a Co-Supervisor with the concurrence



of HoD and approval of the Vice Chancellor. In such cases, the University shall appoint another Research Supervisor. If the candidate has not completed the coursework, the University shall allot another Research Supervisor from the University

- (i) In extraordinary conditions (such as the death of the Supervisor), the RDC may change the Supervisor to protect the students' academic interests. The quota of PhD students for a Supervisor may be relaxed in these cases.
- (j) The Doctoral Research Committee (DRC) shall scrutinize the application received for recognition of the Research Supervisor, as per **Annexure - I**. The HoD shall submit the report of the DRC, for approval of the Vice Chancellor. All the recognized Research Supervisor shall be issued a letter of guide-ship by the University.

9. PROCEDURE FOR ADMISSION

- 9.1 All admissions for Ph.D. programmes shall be through the "Ph.D. Registration Admission Test" (PAT), conducted by MNLU Mumbai. Candidates qualified in UGC-NET (Including JRF)/UGC-CSIR NET (Including JRF)/MH-SET/GATE (valid score) or teacher fellowship holders will be exempted from the Entrance Test for Ph.D. Programmes.
- 9.2 The Syllabus for the PAT
 - (i) 10 (Ten) Short answer questions of 2 (Two) marks;
 - (ii) 6 (Six) descriptive questions of 5 (Five) marks each; and
 - (iii) 2 compulsory questions of 25 (twenty five) marks each wherein the candidate shall write an essay on any two topics of his / her area of interest in about maximum 1000(one thousand) words.
 - (iv) The Examination shall be of three hours duration. The short answer questions shall be from the core areas of law, research methodology legal and logical reasoning. The short descriptive questions and essay type questions shall be from Contract Law, Jurisprudence, Personal Laws, Constitutional Law, International Law, Criminal Law, Human Rights Law, Environmental Law, Corporate Law, legal research methodology and Intellectual Property Law. The essay shall be evaluated on critical legal thinking, research, legal language and comprehension exhibited by the candidate.
 - (v) Special care shall be taken for the candidates of sciences, social sciences and humanities. If the research they wish to pursue interfaces with a special focus on public policy or law, the question paper shall be prepared accordingly.
- 9.3 The University shall notify on an annual basis a predetermined and manageable number of Ph.D. Scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar-teacher ratio, library and such other facilities.
- 9.4 The admission to Ph.D. programme shall be based on the criteria notified by the MNLU Mumbai, keeping in view the guidelines/norms in this regard issued by the University Grants Commission and other statutory bodies concerned, and taking into account the reservation policy of the Central/State Government prescribed from time to time.



- 9.5 The candidate shall apply for PAT in the prescribed Application Form within the date notified.
- 9.6 The entrance test "PAT" will generally be of three hours duration. The questions will be based on Legal Research Methodology having 50% weightage and other law subjects having 50% weightage. There shall be no negative marking.
- 9.7 The PAT will be conducted centrally, at the scheduled time and place, as notified by the University.
- 9.8 The PAT qualified candidates and PAT exempted candidates, shall submit a proposal of research of about 1500 words indicating the hypothesis, statement of problem, research outlines, set of research questions, proposed research methodology, survey of literature and expected outcomes of the research.
- 9.9 All proposals shall be subjected to the MNLU Mumbai Plagiarism Policy. It shall be the responsibility of the candidates to produce anti plagiarism certificate at the time of interview and submit an undertaking to that effect. If the information is found contrary to the undertaking, the research process can be cancelled at any time.
- 9.10 Candidates who have secured 50 % marks in the entrance test shall become eligible to be called for the interview. Depending upon the Ph.D. seats available the University may decide the number of eligible students to be called for an Interview.
- 9.11 A relaxation of 5 % marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the university from time to time.
- 9.12 Provided that for the selection of candidates a weightage of 70 % for the entrance test and 30 % for the performance in the interview/viva- voce shall be given.
- 9.13 All the candidates who have passed the PAT and successfully cleared personal interview shall be admitted provisionally to Ph.D. Degree Programme. The Candidates shall be asked to submit all documents as listed in **Annexure - II**.
- 9.14 A personal interview shall be conducted on the proposal submitted. Final selection shall be made after the personal interview. In interview the candidates are required to discuss their research interest/area through a presentation before a duly constituted Doctoral Research Committee (DRC), and would be assessed for
- (a) the candidate possesses the competence for the proposed research;
 - (b) the research work can be suitably undertaken at the University;
 - (c) the proposed area of research can contribute to new/additional knowledge
- 9.15 The Vice-Chancellor shall constitute a Doctoral Research Committee (DRC) for the personal interview, comprising of the following members under:
- i) HoD - Chairman;
 - ii) Three Subject Experts in which one Professor/Associate Professor from the university and Two Professor/Associate Professor outside the University
 - iii) Three nominees of the Vice-Chancellor – One General, One reserved and one of whom shall be a women.
- 9.16 The HoD shall submit the report of the DRC with regard to PhD Admission



interviews within a period of not more than two working days from the date of completion of the interview process. The University shall publish the list of candidates selected for admission, within a period of not more than seven days of completion of the interview process or as per the date notified in the admission notification.

- 9.17 A merit list of the selected candidates shall be prepared in accordance with the distribution of marking criteria specified. The merit list along with waiting list, prepared on the basis of the ranks shall be published by the University on the website.
- 9.18 After completion of the above process, only pre-determined number of students will be admitted to Ph.D. programme and they shall require to fulfill the conditions stipulated as per these regulations to complete the admission process. The University has the right to keep the seats vacant if sufficient numbers of candidates with minimum cut off marks are not available.
- 9.19 The students shall be issued admission letter instructing to fulfill the admission requirement by paying the fees and submitting documents. After verification of documents and fulfillment of required procedures, the students shall be issued a letter indicating date of provisional registration for the admission to the Ph.D Programme as per **Annexure - III**
- 9.20 MNLU Mumbai shall maintain the list of all the Ph.D. registered students on its website. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.
- 9.21 Admission of International students in Ph.D. programme.-
- (a) Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 8 (e) above.
- (b) The selection procedure for Ph.D. admission of international students shall be as per these regulations.
- (c) At any point, the total number of Ph.D. scholars under a faculty member, either as a research supervisor or a co-supervisor, shall not exceed the number prescribed in clause 8 (e) and clause 9.21(a).

10. CATEGORIES OF ENROLMENT

10.1 Full-time enrolment

- i) Enrolment for the Ph.D. Degree shall ordinarily be full-time. The candidate shall put in a minimum of three-years residence of which at least 18 months including the term spend for course work shall be in the MNLU Mumbai. During this period, the candidate may be attached to a supervisor and the candidate may assist the supervisor in teaching and research at MNLU Mumbai. The candidate may be permitted to conduct research work outside MNLU Mumbai on approval of the RAC.
- ii) Six years shall be the maximum period within which a full-time candidate should complete the doctoral research and submit the thesis for evaluation. However, this time period is extendable up to one year in two extensions of six months each, in case the candidate requests in writing for such extension as per Rule 7.2 of these regulations. This is subject to the recommendation of the Research



Advisory Committee, and approval of the Vice Chancellor.

10.2 Part-time enrolment

- i) There shall be provision for part-time enrolment for the Ph.D. Degree. A part-time candidate shall put in a minimum residence in MNLU Mumbai for at least a total period of two semesters spread over the four-year period including the time spends for course work.
 - ii) However, persons working at senior level such as a Judge in the High Court / Supreme Court, a senior Advocate in Supreme Court and Judicial Officers (with LL.M. degree and ten years' experience as Judicial Officer) and IAS/IPS/IRS and allied Services Officer (with LL.M. degree and 10 years' experience as IAS/IPS/IRS and allied Services Officer recruited through UPSC) may be exempted from the said residence requirement on the condition that they should complete the certificate course in research methodology within six months after admission.
 - iii) Six years shall be the maximum period in which a part-time candidate shall complete the research work and submit it for evaluation. However, this time period is extendable up to one year in two extensions of six months each in case the candidate requests in writing for such extension as per Rule 7.2 of these regulations. This is subject to the approval of the doctoral committee on recommendation of the research advisory committee.
 - iv) Part-time Ph.D. will be allowed provided all the conditions mentioned in the University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degrees) Regulations, 2022 are complied.
- 10.3 Conversion from either part-time to full-time or from full-time to part-time will be permitted only with the approval of the Doctoral Committee.
- 10.4 All cases of pre and post-employment of candidates, including JRF and SRF, may be considered and approved by the Doctoral Committee.
- 10.5 Ph.D. Programme through distance education mode shall not be allowed in any case.

11. COURSE WORK

- 11.1 The scholars shall undertake course work for a minimum of 16 credits and for a period of one Semester, as the course work shall be treated as prerequisite for Ph.D. degree programme, on the following course outlines:
- (a) A paper on Research Methodology and Legal writing for four (4) credits.
 - (b) A paper on research object, research design, research ethics and referencing for four (4) credits.
 - (c) A paper on Computer applications and data analysis for two (2) credits.
 - (d) A paper focusing on the research area, publication ethics and review of scholarly work for two (2) credits.
 - (e) A paper on area of specialization in which candidate is willing to pursue his / her research work for two (2) credits.
 - (f) A paper on teaching methods and techniques for two (2) credits.

11.2

All Ph.D. scholars, irrespective of discipline, shall be trained in teaching /education /pedagogy/writing related to their chosen Ph.D. subject during their doctoral period.



Ph.D. scholars will also be assigned 6-8 hours per week of teaching/research assistantship for conducting classes and evaluations.

- 11.3 The Examination Department of MNLU, Mumbai shall conduct the Course Work Examination within seven days of completion of course work. The question papers shall be submitted by the HoD seven days before completion of course work.
- 11.4 The course work examination shall be out of 400 marks as per the details given below:
 - (a) Research Methodology and Legal writing 100 marks 3-hour examination
 - (b) A paper on research object, research design, research ethics and referencing 100 marks 3-hour examination.
 - (c) A paper on Computer applications and data analysis for 50 marks 2-hour examination.
 - (d) A paper focusing on the research area, publication ethics and review of scholarly work for 50 marks 2-hour examination.
 - (e) A paper on area of specialization in which candidate is willing to pursue his / her research work for 50 marks 2-hour examination.
 - (f) A paper on teaching methods and techniques for 50 marks 2-hour examination.
- 11.5 The result of the Course Work shall be published within 15 days from the completion of the course work examination.
- 11.6 The candidate shall secure at least 60% marks or 6-point scale in the 8-point scale in the course work in order to be eligible to continue in the programme.
- 11.7 If any candidate fails to secure 60% marks or 6-point scale in the courses as mentioned above may be provided an opportunity to re-appear within three months of the publication of result by paying the additional course work fee. Only two chances shall be given to the candidates for passing the course work examination.
- 11.8 In the case of failed candidates as per clause 11.7 the date of provisional registration will be extended to three or six months depending on the time taken for passing the Course Work examination.
- 11.9 On successful completion of the course work, the Examination Department shall give a mark list to each candidate along with the certificate of completion of course work within 15 days of publication of results.
- 11.10 The course work shall be completed within six months from the date of provisional registration. The Candidates shall be allowed to continue further research work only after completing the Course Work as mentioned above.
- 11.11 The contents of the course work shall be prepared and updated by the Research Advisory Committee from time to time and the same shall be subject to approval by the Vice Chancellor.
- 11.12 A Candidate possessing M.Phil. Degree may be exempted from the Research Methodology paper in the Course Work provided he / she completed such course earlier.
- 11.13 A candidate who has already completed his / her Course Work as part of award of an earlier Ph.D. Degree is exempted from the Course Work but shall be required to make three Seminar presentations before the Research Advisory Committee after



provisional registration.

12. ALLOCATION OF RESEARCH SUPERVISOR

- 12.1 After successful completion of the course work the research candidates shall be allotted a Research Supervisor.
- 12.2 The allocation of Research Supervisor for a selected research candidate shall be decided by the University depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview with due regard to the reservation policy.
- 12.3 The list of research supervisor allotment prepared by HoD shall be approved by the Vice-Chancellor.
- 12.4 In case of topics which are of inter-disciplinary nature where the HoD feels that the expertise in the University has to be supplemented from outside, HoD may appoint a Research Supervisor from the University itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the University, on such terms and conditions as may be specified and agreed upon by the consenting institutions/colleges.
- 12.5 Change of Guide:
- (a) Normally a candidate shall be required to complete his/her doctoral research under the supervision of the research supervisor allotted to him/her. However, the Doctoral Research Committee concerned may allow change of Supervisor on the production of a 'No Objection Certificate' from the first Research Supervisor and an acceptance letter from the new Research Supervisor.
 - (b) The change of Supervisor shall not be permitted in the final year as per Rule 7 of these Regulations.
 - (c) In case of change of Research Supervisor the Research Scholar shall work for a minimum period of one calendar year under the new Supervisor before he/she submits the thesis, without applying for extension of time.
 - (d) A 'No Objection Certificate' will not be required if the candidate justifies the non-availability of his Supervisor. The justification will have to be endorsed by the HoD.
 - (e) In case of any dispute/problem, non-availability of the Supervisor, the Vice-Chancellor on recommendation of the HoD concerned, will take an appropriate decision.
 - (f) At any given time, a Supervisor shall not have more than eight registered Ph.D. candidates and/or more than five M.Phil candidates working under his/her supervision.
- 12.6 Its ordinarily expected from supervisor to
- (a) provide academic support and practical guidance throughout the period of research till submission of thesis
 - (b) emphasize on academic honesty, timely submission and abiding of relevant regulations
 - (c) assist in integrating the candidate into the academic and social life of the



department

(d) notify research scholar concerns about quality of research, if any.

12.7 Its ordinarily expected from research scholar

(a) to display initiative in identifying and resolving problems relating to the research

(b) to maintain frequent and regular but necessary contact with the supervisors

(c) to be well organised and capable of setting and meeting deadlines

(d) reflecting on and responding to feedback and guidance provided by the supervisor

13. RESEARCH ADVISORY COMMITTEE AND ITS FUNCTIONS

13.1 There shall be a Research Advisory Committee for each Ph.D. scholar, approved by the Vice Chancellor.

13.2 The Research Advisory Committee shall comprise of the following members:

(i) Supervisor/ Guide – Chairman

(ii) Co Supervisor/ Guide (Optional) - Member

(iii) Subject Expert from outside the University – Member

(iv) HoD - Member

13.3 The Research Supervisor of the Ph.D. scholar concerned shall be the Chairman of this committee, and this committee shall have the following responsibilities:

(a) To review the research proposal and finalize the topic of research.

(b) To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.

(c) To periodically review and assist in the progress of the research work of the Ph.D. Scholar.

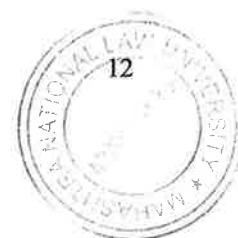
13.4 Every six months, a Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the University. A copy of such recommendations shall also be provided to the Ph.D. scholar.

13.5 In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.

14. Ph.D. PROPOSAL SUBMISSION AND PRESENTATION

14.1 After the allotment of Research Supervisor, the research candidate shall submit a research proposal in the format as per **Annexure - IV**.

14.2 The research scholar shall submit five (05) copies of his Ph.D. proposal. The Research Scholar shall be required to make a brief presentation before the Research Advisory Committee (RAC).



- 14.3 In case of any minor suggestions given by the Research Advisory Committee (RAC) for improvement of the topic, the candidate shall make changes accordingly and submit the corrected proposal to the HoD. If the RAC suggests any major changes to be incorporated in the proposal or change in the area of research or topic of research, then the same shall be presented again before the said RAC within a period of not more than 30 days from the date of the earlier presentation.
- 14.4 After successful presentation, the Research Advisory Committee (RAC) shall prepare a report on the basis of the presentation. The HoD shall send the report of the Research Advisory Committee along with the proposal, within period of not more than seven working days, from the date of presentation to the Vice-Chancellor for approval. A letter (**Annexure - V**) mentioning the topic approved and details of the registration shall be given to the research candidate.

15. TRANSFER OF PhD FROM OTHER UNIVERSITY

- 15.1 A candidate who is already registered as a Ph.D. scholar in another recognised national level Institution/University may transfer their registration to MNLU Mumbai in the following circumstances, provided they have already successfully completed the coursework in their previous institution/university:
- (a) If their Research Supervisor joins this University as a full-time regular faculty member.
 - (b) If the candidate joins this University as a full-time faculty member or research staff.
- 15.2 In such cases, the candidate's former Supervisor may be appointed as the external Co-Supervisor. Such candidates shall be permitted to submit their thesis after the expiry of the remaining period for submitting their thesis prescribed under these regulations, provided they continue to work on the same topic.
- 15.3 The period spent in their previous Institution/University shall be counted towards calculating the duration of their registration. They shall discontinue/withdraw their Ph.D registration with their previous Institution/University. They shall submit a proper coursework mark sheet and a certificate indicating the date of registration and the title of their PhD topic from the competent authority of their previous institution/university.

16. CHANGE OF AREA OF RESEARCH

Any change in the area of research may be allowed by the Research Advisory Committee on the request of the research scholar through his / her Research Supervisor. However, such change is only permissible once during the entire period of registration. The candidate shall pay the prescribed fee for the change of the topic or area of research.

17. REPORT SUBMISSION AND PRESENTATION

- 17.1 Ph.D. scholars shall be required to be present in the University during such period as instructed by the HoD.
- 17.2 For candidates drawing scholarship/fellowship/stipend or any other monetary benefit from this University or any other organization, attendance is mandatory throughout their registration period. They may be allowed to leave the University only upon sanctioned leaves, fieldwork, Conferences/seminars etc. Their presence in the University shall be duly recorded and maintained by their Supervisor.
- 17.3 A record of the progress work of Ph.D. scholar shall be kept by the Supervisor. Once



every 06 months, the Student shall submit a Progress Report to the Supervisor and Co-Supervisor (if any) in the format as mentioned in **Annexure - VI**.

17.4 The Research Scholar shall also make a mandatory presentation on this Progress Report every 06 months before RDC. The Supervisor shall forward all six-monthly Progress Reports of the research scholar to the HoD. Based on evaluation of the presentations and Progress Reports by the RDC, the HoD shall prepare a consolidated report of all Ph.D students of the University. This consolidated progress report shall be submitted to the Vice Chancellor for approval.

17.5 Pre-submission Presentation

Prior to submission of the final thesis, the scholar shall submit a draft thesis to the HoD through the Supervisor and make a Pre-Ph.D. presentation request. The HoD shall arrange for a Pre Submission presentation with the approval of Vice Chancellor. The Pre-submission shall be made in front of RDC and which shall be opened to all the faculty members and research scholars for comments and the same may suitably be incorporated into the draft thesis on the advice of the Research Supervisor. The final version after incorporating the necessary changes must be submitted within three months from the date of pre-submission presentation.

18. SUBMISSION OF THESIS

18.1 The candidates are required to submit 5 hard copies and a soft copy of their thesis after paying the requisite fee.

18.2 The thesis shall be in English and embody original research of the candidate.

18.3 The thesis should not include work done by the candidate for any other degree successfully completed at the present University or elsewhere.

18.4 The thesis should contain a declaration by the candidate attesting the bona fide and original nature of the work.

18.5 The final PhD Thesis shall be presented in accordance with the specifications in **Annexure - VII**.

18.6 The thesis should also contain a certificate of originality issued by the supervisor.

18.7 Before submission of Ph.D. thesis, the research scholar shall fulfill the requirements prescribed by the Academic Council of the MNLU MUMBAI with respect to detection of plagiarism and any other forms of academic dishonesty. While submitting for evaluation, the thesis shall be accompanied with original report of the anti-plagiarism software approved by the MNLU MUMBAI, an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and any other academic dishonesty and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other institution.

18.8 Ph.D. scholars shall publish two research papers in UGC Care List/ Scopus or other reputed referred journal and make two paper presentations in conferences/seminars before the submission of the thesis for adjudication and produce evidence for the same in the form of presentation certificates and/or reprints/ acceptance letter from the publisher/ editor. Guidelines for research publications issued by the MNLU MUMBAI, from time to time shall be followed.



- 18.9 The thesis shall be submitted in compact bound form along with a soft copy (CD) in the format and as per the details mentioned in **Annexure - VIII**. Along with the thesis/dissertation, 10 (eight) copies of the abstract/synopsis shall be submitted in prescribed format to the P. G. and Research Department, and they shall verify and ensure that the contents of the CD are in the format and as per the details mentioned in **Annexure - IX**. The same shall be forwarded by the PG Department to the Examination Department.
- 18.10 The thesis shall include a Certificate of the Research Supervisor and a Declaration by the candidate [**Annexure - VI**] that the work reported in the thesis has been carried out by the candidate himself and that the material from other sources, if any, is duly acknowledged.

19. CANCELLATION/TERMINATION OF CANDIDATURE

- 19.1 Registration of a Ph.D. scholar shall be cancelled by the RAC at any time if:
- (a) The course work is not completed as required by these Regulations.
 - (b) Two consecutive six-monthly progress reports are not submitted.
 - (c) Two consecutive six-monthly presentations are not made.
 - (d) Non-payment of fees on time.
 - (e) The scholar's progress is not found satisfactory by RAC consecutively for two half-yearly evaluations.
 - (f) The candidate drawing scholarship/fellowship/stipend or any other monetary benefit from the University or any other organization does not comply with the University's attendance requirement.
 - (g) Admission may be cancelled at any time if the University finds that the information furnished by the candidate is false or found to be in violation of rules/regulations of the University.

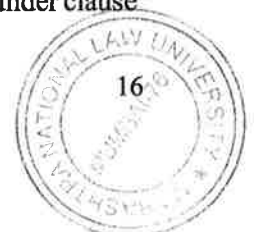
20. EVALUATION OF THESIS

- 20.1 Upon completion of the proposed research work, the scholar shall produce a thesis that shall be submitted for evaluation as per the provisions of these Regulations.
- 20.2 The Vice-Chancellor may select 05 examiners (including two reserves) from a panel of around 15 examiners submitted by the HoD inclusive of the names of 05 subject experts in the area of the doctoral research of a particular candidate submitted by the research supervisor for evaluation of thesis.
- 20.3 The Ph.D. thesis submitted by a research scholar shall be evaluated by his Research Supervisor and three external examiners, who are not in employment of the MNLU Mumbai, of whom one examiner may be from outside the country.
- 20.4 An examiner shall ordinarily be required to accept the appointment offered by the University within 15 days from the issue of the letter. If no information is received from an examiner within 15 days from the date of issue of the letter of appointment, their appointment shall stand cancelled, and another examiner shall be appointed.
- 20.5 On receipt of the acceptance of any examiner, the HoD shall instruct the examination department to forward the copy of the thesis to the examiners for evaluation and take all action to get the report of the examiner expeditiously.
- 20.6 The examiners shall be requested to send detailed evaluation reports within two



months of the receipt in the proforma sent by the university which shall include:

- (a) Questions to be asked or points to be clarified at the Viva-voce examination;
 - (b) A definite recommendation as to whether the thesis be accepted in the present form or with minor revisions or major revisions or to be rejected.
- 20.7 In submitting the report, the examiner shall state whether the thesis complies with the following conditions to merit the award of the Ph.D.
- (a) It should be a research work characterized either by finding new facts or by a fresh approach to interpreting facts and theories.
 - (b) It should reflect the candidate's capacity for critical examination and judgment.
 - (c) It should be satisfactory in its literary presentation.
- 20.8 After the examiners have examined the thesis, they may recommend one of the following:
- (a) that the thesis be accepted for the award of the Ph.D. degree
 - (b) That the thesis be re-submitted in a revised form as recommended
 - (c) that the thesis be rejected.
- 20.9 In case the any of the external examiner refuses to evaluate the thesis, then the thesis shall be sent to the reserve external examiners following the process in the above clauses.
- 20.10 In case one or more examiners seek clarification or recommend revision, the report/reports of the examiner/examiners concerned (without mentioning the name/names) shall be sent to the Ph.D. scholar through the Supervisor concerned to enable the former to submit clarification through the Supervisor on the points raised or to submit the thesis in a revised form, as the case may be. The clarification shall be sent to the concerned examiner for perusal, and if the examiner is satisfied, a specific recommendation for the award of the Ph.D. degree may be made.
- 20.11 In case of two of the external examiners not recommending the award of the Degree, the candidate shall be provided through the Supervisor a copy of the suggestions / comments made by the examiners. The candidate is required to resubmit the thesis after incorporating the suggestions /comments and submit the modified thesis within three months along with the prescribed fee. Only one such resubmission shall be permitted.
- 20.12 The re-submitted thesis shall be sent for evaluation again to the external examiner following the processes mentioned in the clauses above for evaluation.
- 20.13 If the evaluation report of the external examiner is satisfactory and recommend for viva-voce. If the examiner sends satisfactory report with minor changes, the DRC may recommend the resubmission of the thesis with suggested changes.
- 20.14 If the again the two examiner is not recommending the award of the Degree on the re submitted thesis, the DRC shall reject the thesis, and admission shall be cancelled.
- 20.15 The report of each examiner shall be specific and state the grounds on which the recommendation is based.
- (a) In case two examiners recommend the award of the Ph.D. degree under clause



20.6 (a) of these regulations, the candidate shall proceed to defend the thesis through open viva voce examination.

- (b) In case two examiners make their recommendation under clause 20.8 (b) of these regulations, the thesis shall be revised. The revised thesis must be re-submitted within six months. Upon further recommendation of revision by the examiners, the thesis shall be rejected.
- (c) In case two examiners make their recommendation as per clause 20.8(c) of these regulations, the thesis shall be rejected.

21. VIVA-VOCE

- 21.1 An Open Viva-voce examination shall be conducted by a panel constituted by the Vice- Chancellor and consisting of three examiners, of whom at least one examiner shall be from among the three examiners who evaluated the thesis. It shall be open to be attended by the members of the Research Advisory Committee, all faculty members of the University, other research scholars and other interested experts/researchers.
- 21.2 The public viva-voce of the Ph.D. scholar to defend the Ph.D. thesis shall be conducted only if the evaluation reports of at least two external examiners are satisfactory and include a specific recommendation for conducting the viva-voce examination.
- 21.3 The questions which are to be asked to the Ph.D. scholar during the final viva voce would be shared with the guide immediately on receipt of the same from the examiner, along with the reports of the examiners, before the conduct of the viva so that the candidates are prepared for the same. This is required since the questions pertain to research related to data and are technical in nature.
- 21.4 The day, date, time and the place for the viva voce and the defence of thesis shall be notified by the HoD, at least fifteen days in advance.
- 21.5 The defence of the thesis shall take place in the presence of the Research Supervisor (Internal Examiner), one External Examiner (who evaluated the thesis) and the Chairman (HoD), who shall jointly evaluate the performance of the candidate. In case of dispute, the Vice Chancellor shall take an appropriate decision.
- 21.6 The Chairman for the Viva-Voce for the Panel for defence of the thesis shall be selected by the Vice Chancellor when the HoD is the Research Supervisor, from a panel of three experts suggested by the Research Supervisor.
- 21.7 The examiners present for the viva-voce and the defence of the thesis shall submit their final consolidated report through the HoD to the Vice Chancellor, along with the reply given to the queries raised by the external examiners in the written form, signed and accepted by the members of the Viva-Voce Panel (**Annexure - X**) and a attendance list of the persons attending the open defence (**Annexure - XI**), in respect of the award of the Ph.D. degree after the defence is over. A provisional degree certificate (**Annexure - XII**) shall be awarded if the examiners submit a recommendation for the award of PhD Degree to the Scholar.
- 21.8 In case the defence is not satisfactory, the examiners may unanimously recommend with reasons that a fresh viva-voce and defence of the thesis be organized within a period of not less than one month. If the defence is still not satisfactory, the panel would record the reasons for the same. The Committee may recommend the



resubmission of the thesis with suggested changes or recommend the rejection of the thesis.

21.9 The entire process of evaluation of Ph.D. thesis shall be completed within a period of six months from the date of submission of the thesis.

21.10 After successful conduct of the viva-voce examination, the recommendation of the Panel to award a degree shall be placed before the Academic Council.

22. GRIEVANCE REDRESSAL

22.1 Grievance / complaints, if any, against any action/decision relating to admission into the PhD programme can be made in writing to the Vice Chancellor of the University, within 3 working days of the decision.

22.2 In case of any dispute between a candidate and his Research Supervisor, or vice-versa the Committee consisting of the following shall examine the matter and report to the Vice Chancellor, whose decision shall be final.

(a) Registrar (Chairman);

(b) Nominee of the Vice Chancellor;

(c) The HoD, (PG) (If the complaint is against HoD/Registrar he shall not participate in the proceedings of the meeting. In that case, additional member/s may be appointed by the Vice Chancellor to replace them.)

22.3 The report shall include, among other things, specific recommendations. The report shall be submitted to the Vice Chancellor.

23. ISSUANCE OF PROVISIONAL CERTIFICATE

Prior to the actual award of the degree, the MNLU MUMBAI shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degrees) Regulations, 2022.

24. DEPOSITORY WITH INFLIBNET

24.1 After the successful completion of the candidate's viva voce, his/her final doctoral work will be uploaded on INFLIBNET by the University, accessible to all Institutions/Universities, within a period of 30 days.

24.2 The HoD shall send the CD of Ph.D. thesis along with the hard copy of the thesis and the notification regarding declaration of result of Ph.D. programme to the Library of the MNLU Mumbai. After receiving the CD of the thesis in the format suggested, the Library shall prepare metadata of the thesis and shall then submit an electronic copy of the Ph.D thesis to the INFLIBNET, for hosting the same so as to make it accessible to all institutions/colleges.

25. PUBLICATION OF Ph.D. THESIS

University may allow the scholar to publish his/ her thesis in the form of a book/ any other format in case the scholar wishes so after obtaining a 'No Objection Certificate' (NoC).

26. FEE REGULATION

26.1 The fees will be payable by the scholar from time to time as per the prescribed rules in force.



26.2 Full fee shall be payable to the University by Ph.D. scholars for all the extended periods, one year or six months as the case may be.

26.3 Fee concessions may be made applicable to staff members of MNLU Mumbai.

27. WITHDRAWAL POLICY

27.1 All requests for withdrawal of admission must be made in writing by the applicant stating reasons for the same and must be accompanied with the original fee receipt. Requests made verbally or by fax/email or without the original fee receipt shall not be entertained.

27.2 The written application of withdrawal must be submitted to the HoD, duly forwarded by the Research Supervisor.

27.3 The student should also provide a No Dues Certificate along with this application.

27.4 In case a scholar withdraws his / her admission to Ph.D. programme, the fee deposited by him / her shall not ordinarily be refunded in any form.

27.5 The refund of fee, if applicable, shall be governed by University Norms & Policy.

28. AMENDMENT CLAUSE

The HoD, with the approval of the Vice Chancellor will incorporate necessary changes in this regulation from time to time. However, all such changes will be reported to Academic Council of the University.

29. RESIDUARY POWERS

Subject to the provisions of the UGC Ph.D. Regulations, the Vice Chancellor, is authorized to remove any difficulties in the implementation of these regulations. Any such measures taken by the Vice Chancellor will be placed before the Doctoral Research Committee for information.



Annexure - I

RULES FOR RECOGNITION OF PH. D. RESEARCH SUPERVISOR

1) General Rules:

- a) Applicant must submit his/her online application form within the time notified by the University.
- b) Hard copies of the application form should be sent to the HoD along with the necessary documents.
- c) Applicant should be a full-time approved regular teacher (not adjunct faculty) working in Maharashtra National Law University Mumbai.

2) Rules for Recognition as Ph. D. Research Supervisor for all faculties:

- a) Any full-time regular Professor/Associate Professor appointed and confirmed would be deemed as Ph.D. Research Supervisor of the University, subject to fulfilling the conditions as per the Rule 8 of the MNLU Mumbai, PhD Regulation 2023.
- b) Assistant Professor of the University with a Ph.D. degree and at least three research publications in refereed journals as sole or first author, and two years after awarding Ph.D. degree with minimum 2 years teaching and /or research experience.

3) Conditions Governing the Ph. D. Research Supervisor:

- a) If an applicant is awarded recognition as a Research Supervisor the recognition letter for the Supervisor will be issued up to his/her attaining the age of 60 years or till he/she is a regular faculty in the University.
- b) Applicant shall not be eligible for making fresh application for Ph.D. Supervisor, if he/she is left with less than three years' service before superannuation.
- c) If it is essential to take a Co- Supervisor in case of those Ph.D. Research Supervisor whose remaining length of service as a 'Regular Faculty' is not more than two years, the Co- Supervisor shall be approved by the RAC.
- d) No fresh Ph.D. 'Research Students' should be registered with Ph.D. Research Supervisor whose remaining length of service as a 'Regular Faculty' has less than three years of service before superannuation.



Annexure - II**List of Documents**

SR. NO.	DOCUMENTS	ORIGINAL WITH SELF-ATTESTED PHOTOCOPY
1.	Class X Mark Sheet and Pass Certificate (As proof of date of birth)	Yes
2.	Class XII Mark Sheet and Pass Certificate	Yes
3.	Degree Certificate/Mark Sheet of LL. B, LL.M./ MPhil or other qualification examinations as per the eligibility requirement. [Mark Sheets and Degree Certificate of all degree courses need to be provided]	Yes
4.	National Eligibility Test Score Card such as NET/SET/JRF (if any)	Yes
5.	NOC from the employer (only for part-time candidates)	Yes
6.	Certificate of Medical fitness from a registered medical practitioner	Yes
7.	Aadhar Card	Yes



Annexure - III

Format for Confirmation of Provisional Admission

Ref:

Date:

To,

Subject: Confirmation of provisional admission to the PhD in (subject)

Dear Mr. / Ms.

I am happy to inform you that your provisional admission to the Degree of Doctor of Philosophy (PhD) programme at Maharashtra National Law University, Mumbai, is now provisionally confirmed with effect from the date of provisional admission, i.e., The details of your admission are:

1. Subject:
2. Date of Provisional Registration:
3. Period of Provisional Registration: fromto.....

Please note that your admission will be governed by the Maharashtra National Law University Mumbai, Doctor of Philosophy (PhD) regulation 2023 for the degree with effect from....., 2019.

Please also note you will have to pay the fees prescribed as per the following schedule annexed with the letter.

Thanking you

Yours faithfully

Head of the Department
PG and Research

Copy to:

1. The Vice-Chancellor, Maharashtra National Law University Mumbai - 400076.
2. The Registrar, Maharashtra National Law University Mumbai - 400076.
3. PG and Research Department, Maharashtra National Law University Mumbai - 400076.



Format of Research Proposal

- 1. Cover Page:**
 - Include the proposal's title, your name, institutional affiliation, department, and submission date.
- 2. Table of Contents:**
 - Provide a clear and exhaustive list of all the sections and subsections in your research proposal, as well as the page numbers for each. This facilitates easy navigation throughout your proposal.
- 3. Background and Need of Study:**
 - Explain the historical context of the research topic and justify conducting the study.
 - Discuss the significance of the research topic, highlighting any knowledge gaps or limitations.
 - Explain why the study is necessary and how it addresses a particular problem, research question, or practical issue.
- 4. Purpose and Aims of Study:**
 - Clarify the overall purpose of your study, which describes the broad goal or objective you intend to achieve.
 - Present specific research aims or objectives that define the specific outcomes or contributions you intend to achieve.
- 5. Literature Review:**
 - Conduct a comprehensive literature and scholarly work review pertinent to your research topic.
 - Analyze critically existing research, theories, and concepts pertinent to your study.
 - Identify any gaps, controversies, or limitations in the existing literature that your study will address.
 - Show how your research fits into the existing scholarly discourse and contributes to the field's advancement of knowledge.
- 6. Research Design:**
 - Describe the research design and methodology you plan to use for your study.
 - Discuss the research approach (e.g., qualitative, quantitative, mixed methods) and provide a rationale for your choice.
 - Explain the data collection methods, sampling strategy, and any research instruments or tools you plan to use.
 - Outline the data analysis techniques or procedures you will employ to analyze the collected data.
- 7. Timetable Plan:**
 - Provide a comprehensive timeline or schedule of the various phases and activities of your research project.
 - Highlight milestones, tasks, and due dates, and divide the research schedule into distinct periods.
 - Include estimated durations for each phase, such as the review of the literature, data collection, analysis, and writing.
- 8. Proposed Thesis Structure:**
 - Describe the proposed organisation and structure of your thesis or dissertation.
 - Provide an overview of the chapters or sections included and a concise description of their contents.
 - This section illustrates the logical organisation and flow of your research project.
- 9. Significance/Expected Outcome:**
 - Discuss the significance and anticipated results of your research.
 - Highlight potential contributions to academic knowledge, implications for practise, or policy recommendations.



- Describe how your research findings may influence the field of study or solve real-world issues.

10. Glossary:

- Provide a glossary of key terms and definitions if your research utilises specialised terminology or acronyms.
- This section clarifies the terminology used throughout the rest of your research proposal.

11. Appendices:

- Include any additional materials or information to support your research proposal.
- This may include questionnaires, interview guides, sample data, or any other relevant documents.

12. References:

- Provide a comprehensive list of all cited sources in your research proposal.
- Adhere to a particular citation style (preferably the Bluebook citation) consistently.



Annexure - V

Format for Confirmation of Admission/ Registration

Ref:

Date:

To,

Subject: Confirmation of admission to the PhD in (subject)

Dear Mr. / Ms.

I am happy to inform you that the Doctoral Research Committee has approved your research topic as it is/with the modification/s as follows: _____

Your admission is now confirmed with effect from the date of provisional admission, i.e., _____
The details of your admission are as follows:

1. Subject:
2. Supervisor:
3. Co- Supervisor:
4. Date of Registration:
5. Period of Registration: fromto.....

Please note that your admission will be governed by the Maharashtra National Law University Mumbai, Doctor of Philosophy (PhD) Regulation 2023 for the degree with effect from

Thanking you

Yours faithfully

Head of the Department
PG and Research

Copy to:

1. The Vice-Chancellor, Maharashtra National Law University Mumbai - 400076.
2. The Registrar, Maharashtra National Law University Mumbai - 400076.
3. The Supervisor: Prof. /Dr.
4. The Co-Supervisor Prof./Dr.....
5. PG and Research Department, Maharashtra National Law University Mumbai – 400076.



Annexure - VI

Format of Six-Monthly Progress Report

Date:

To,

The Head of the Department (PG)
Post-Graduation and Research
MNLU, Mumbai

Subject: Submission of the Six-Monthly Reports

Respected Madam,

I, _____ Reg No. _____ pursuing
Ph.D. from the MNLU Mumbai on the
Topic _____ under the supervision of
Research Supervisor/Guide _____.

The details of same are as follows,

- Topic of my research as approved by RDC/DRC:
- Name of the Researcher:
- Name of the Research Guide:
- Date of Registration:
- Period of registration:
- Period of 'Sixth Progress Report six monthly reports:

I am hereby submitting of Six-Monthly Reports. Kindly accept the same.

Thanking you.
Yours Sincerely,





MAHARASHTRA NATIONAL LAW UNIVERSITY, MUMBAI

PART A

Six Monthly Progress Report submitted by the Research Scholar and endorsed by Research Supervisor Pursuing Ph.D.

Progress Report No. (Please Tick)	I	II	III	IV	V	VI	VII	VIII	IX	X

Name of the Research Scholar	
Name of the Research Supervisor	
Date of Registration	
Discipline	LAW
Time/ Period for which Progress Report is Submitted	
Title of the Research	

Signature of PhD Research Scholar





MAHARASHTRA NATIONAL LAW UNIVERSITY, MUMBAI

Progress Carried Out as Follows:

1. Elaborate description of the reading/ writing/ data collection. (Review of literature and a note on the relevance of each literature to the proposed project):
2. Methodology: Standardization of Techniques, Validation of the Tools, etc. (Including data collection and statistics) etc. if applicable:
3. Out- come of Research Work:
4. Publications accepted/ communicated/ Manuscripts ready for submission (if any):
5. Overall Progress:
6. Difficulties and Challenges encountered:



7. Proposed Plan of Work for the upcoming six months:

--

Remarks of Research Supervisor*:

Signature of PhD Researcher Scholar

**Signature of Research Supervisor
Convener of the RAC**

Date:

*The Research Supervisor/Guide is expected to certify the report and mention in unambiguous terms whether the progress is satisfactory or not and whether the work done during the period under consideration is relevant and adequate or not.



Specification of Thesis

Cover page for Ph. D. Thesis

<p>PH. D THESIS</p> <div style="border: 1px solid black; padding: 10px; text-align: center; margin: 10px auto; width: 80%;"> <p>WRITE HERE NAME OF THE CANDIDATE</p> </div>	<p>“Write here title of the thesis in all upper-case (capital letters) with a ‘centre’ alignment. Place this title on the upper central part of the cover with sufficient margin from top and both sides. Use font size suitable to length of the title.”</p> <p style="text-align: center;"> A THESIS SUBMITTED TO MAHARASTRA NATIONAL LAW UNIVERSITY FOR AWARD OF DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.) IN LAW </p>
<p>MONTH & YEAR</p>	
<p style="text-align: center;">Spine</p>	<p>SUBMITTED BY</p> <p>_____</p> <p>_____</p> <p>UNDER THE GUIDANCE OF</p> <p>.....</p> <p>.....</p> <p>WRITE HERE MONTH & YEAR OF SUBMISSION</p>



Annexure - VII

Certificate of the Guide

CERTIFIED that the work incorporated in the thesis.....
(Title) Submitted by Mr./Ms. Reg No..... was carried out by
the candidate under my supervision/ guidance. Such material obtained from other sources
has been dulyacknowledged in the thesis.

Date:

(Supervisor/Research Guide)



Annexure - VII

Declaration by the Candidate

I declare that the thesis entitled submitted by me for the degree of Doctor of Philosophy is the record of work carried out by me during the period fromTo..... Under the guidance of and has not formed the basis for the award of any degree, diploma, associate ship, fellowship, titles in this or any other University or other institution of Higher learning.

I further declare that the material obtained from other sources has been duly acknowledged in the

Date:

Signature of the Candidate

Annexure - VIII

Format for submission of CD of Ph. D. thesis

1. The candidate shall store in a CD each chapter of his thesis in a separate pdf file using file naming format as prescribed by "Shodhganga". The file naming format is given below:

File naming format:

Name	Type
01_title.pdf	PDF File
02_certificates.pdf	PDF File
03_acknowledgements.pdf	PDF File
04_contents.pdf	PDF File
05_preface.pdf	PDF File
06_list of tables figures.pdf	PDF File
07_chapter 1.pdf	PDF File
08_chapter 2.pdf	PDF File
09_chapter 3.pdf	PDF File
10_chapter 4.pdf	PDF File
11_chapter 5.pdf	PDF File
12_chapter 6.pdf	PDF File
13_chapter 7.pdf	PDF File
14_references.pdf	PDF File

2. As per this format, each component of the thesis shall be stored in separate pdf file as listed above.
3. All files shall be stored in the single CD.
4. Each file shall be given the name as given in the above format.
5. Candidate shall not protect the files in the CD with password



Annexure - IX

Format of Abstract/Synopsis of PhD Thesis

A synopsis is viewed as a short format of the Thesis written by the Research Scholar. The following sequence shall be used for organizing synopsis of the thesis.

- Title of thesis
- Introduction – Outline briefly the relevance of the research work done.
- Motivation – Discuss the developments in the area of research to trace out status and importance of the research problem.
- Objectives and scope – State clearly the research problem addressed and define boundaries within which the research has been carried out.
- Description of research work – Give brief but sufficient details of research problems, solution methodologies, interpretation of results etc.
- Chapter wise report – Briefly explain the various chapters.
- Recommendations/ Suggestions – Based on the research work undertaken.
- Conclusion /Limitations – Give major conclusions and limitations of research.
- References – List references as discussed in thesis format. All these references must be discussed in synopsis write-up.
- Tables and figures – All the tables and figures shall be captioned, serially numbered and referred in the write-up
- List of Publications based on the research work.

Style of preparation of synopsis shall be same as thesis. Maximum pages in synopsis write-up shall be 20 including cover pages. Softcopy of synopsis of the thesis (pdf form) shall be included in the CD submitted. 10 Copies of the synopsis shall be submitted by the Research Scholar.



Annexure - X

Format of Consolidated Report of the Examiner on the Viva-Voce

The viva-voce of Mr. / Ms.....
Reg Nowas conducted on (Day and date). We have
conducted the open defence of the Ph.D. thesis entitled:
.....
..... in the Maharashtra National Law University Mumbai on
.....

The performance of the candidate was satisfactory / unsatisfactory. We recommend that he/she
be awarded the Ph.D. Degree / should not be awarded the Ph.D. Degree and the viva voce be
arranged again on

(Guide)

(External Examiner)

(Chairman)



Annexure - XI

MAHARASHTRA NATIONAL LAW UNIVERSITY MUMBAI
(Attendance Sheet)

Ph.D. Viva Voceof

Subject

Date of Viva.....

Time

Venue

List of the persons attending the Open Defence.

Sr. No.	Name of the Person	Signature
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		

(Guide)

(External Examiner)

(Chairman)



Annexure - XII

PROVISIONAL DEGREE CERTIFICATE

This is to certify that.....registration number.....is qualified for the award of the degree of DOCTOR OF PHILOSOPHY OF LAW of this University for having fulfilled the requirements for the award Degree as per the University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022 by submitting the thesis title

The degree certificate will be conferred on him/her at the next convocation or thereafter.

Mumbai

Date :

Controller of Examinations



FEES FOR THE PHD PROGRAMME

SI NO	HEADS	FULL TIME	PART-TIME	REMARKS
1	APPLICATION AND ADMISSION TEST FEE	3000	3000	ONE TIME AT THE TIME OF APPLICATION
2	ADMISSION FEE	20000	20000	ONE TIME
3	REGISTRATION & COURSE WORK FEE	50000	60000	ONE TIME
4	COURSE WORK REPETITION	10000	10000	
5	LEGAL RESEARCH DEVELOPMENT	30000	30000	ONE TIME AT THE TIME OF ADMISSION
6	FIRST-YEAR FEE	20000	20000	
7	SUBSEQUENT YEAR FEE FROM SECOND YEAR ONWARDS	25000	25000	PER ANNUM
8	UTILITY FEE	20000	20000	PER ANNUM
9	THESIS SUBMISSION & EVALUATION FEE	30000	30000	AT THE TIME OF SUBMISSION OF THESIS
10	RESUBMISSION FEE	30000	30000	
11	VIVA FEE	10000	10000	AT THE TIME OF SUBMISSION OF THESIS
12	ALUMNI FEE	5000	5000	AT THE TIME OF SUBMISSION OF THESIS
13	CHANGE OF SUPERVISOR	5000	5000	
14	CHANGE OF TOPIC	5000	5000	

1. Admission fee along with Course work fee, Legal Research development fee, first year fee and Utility fee should be paid at the time of admission.
2. Second year fee and utility fee should be paid together in subsequent years till the submission of thesis.

